

# ECE230X Lectures 10-11

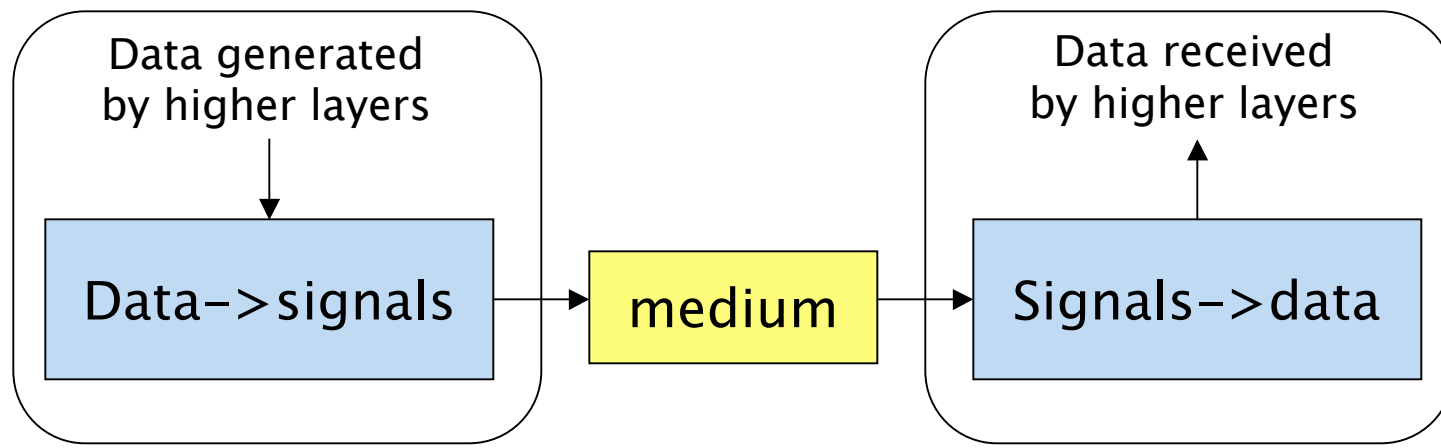
**Data and Computer Communications Eighth Edition**  
**By William Stallings**  
**Section 5.2 – “Digital Data, Analog Signals”**

D. Richard Brown III  
Worcester Polytechnic Institute  
Electrical and Computer Engineering Department









*Adapted from Prentice Hall instructor resources*

# Basics of Signal Encoding

- Important function of the physical layer: Convert data (e.g. bits) to signals (e.g. voltages).
- The signal must be designed to **efficiently propagate through the medium**.
- The signal must also be designed so that the receiver can **correctly interpret it**.

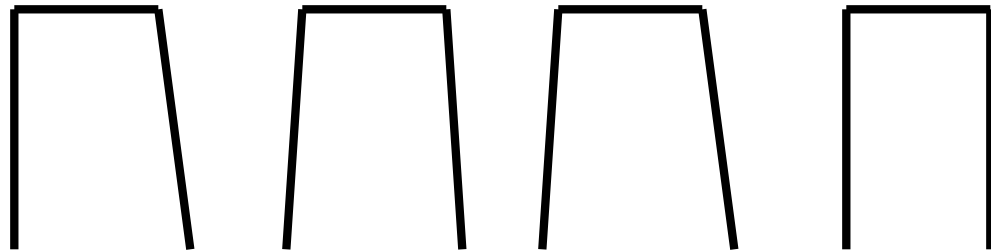


# How to convey digital information with signals

- Need two things:
  - ◆ A set of  $2^N$  distinct signals
    - Each signal is called a “symbol”
    - The set is called an “alphabet”
  - ◆ A unique mapping between blocks of N bits and each signal
- Example (N=2)
  - ◆ Signal set = {   } ( $2^2 = 4$  signals)
  - ◆ Unique mapping
    - Logical 00  $\leftrightarrow$  
    - Logical 01  $\leftrightarrow$  
    - Logical 10  $\leftrightarrow$  
    - Logical 11  $\leftrightarrow$  

What does this signal mean? 

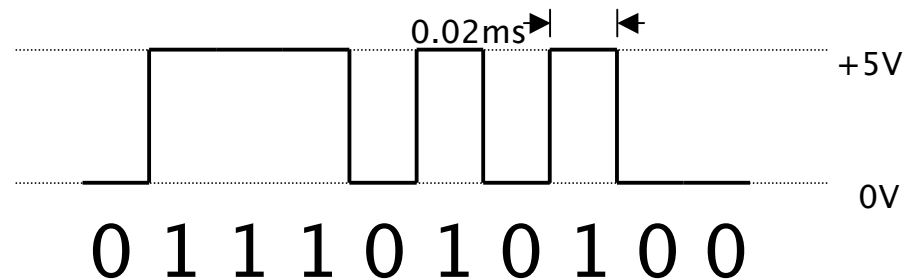
# An Example of a Bad Alphabet



Why is this alphabet bad?

# “Analog” Signaling Basics

- Recall “digital” signaling, e.g.



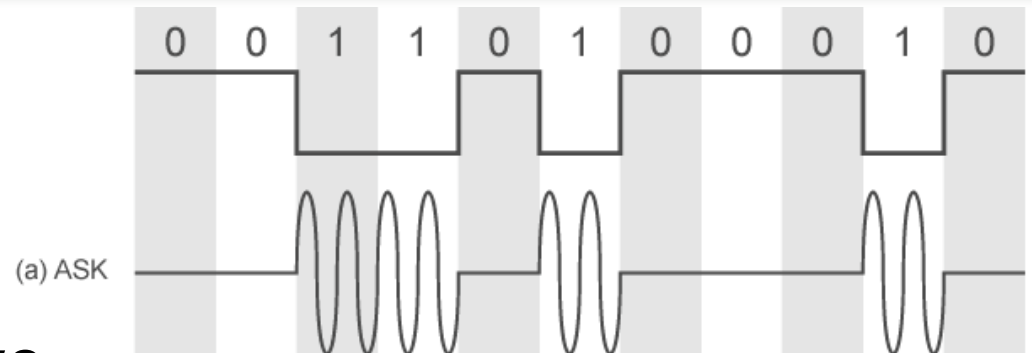
- Digital signaling is inappropriate in many scenarios (interference with other signals or inefficient propagation):
  - ◆ Wireless communication
  - ◆ Optical communication
  - ◆ Cable modems
  - ◆ Digital subscriber loops (DSL)
  - ◆ Even basic voiceband modems (300Hz–3400Hz channel)
- Need “analog” signals in these cases

# Common “Analog” Signals for Communication

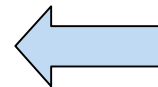
- Main idea: Alphabet composed of **sinusoidal** signals with distinct amplitude, frequency, and/or phase shifts
- Sinusoidal signals allow control of signal spectrum
  - ◆ Efficient propagation in desired medium
  - ◆ Avoid interference with other signals
- Pure methods:
  - ◆ Amplitude shift keying (ASK)
  - ◆ Frequency shift keying (FSK)
  - ◆ Phase shift keying (PSK)
- Hybrid methods:
  - ◆ Quadrature amplitude modulation (QAM) (signals distinguished by both amplitude and phase shifts)

# Amplitude Shift Keying

- encode data in signal **amplitude**, e.g.
  - ♦ Logical 0  $\rightarrow 0\sin(\omega t)$
  - ♦ Logical 1  $\rightarrow A\sin(\omega t)$
- Can have more than two amplitudes, e.g.
  - ♦ Logical 00  $\rightarrow 0\sin(\omega t)$
  - ♦ Logical 01  $\rightarrow A\sin(\omega t)$
  - ♦ Logical 10  $\rightarrow 2A\sin(\omega t)$
  - ♦ Logical 11  $\rightarrow 3A\sin(\omega t)$
- Used for
  - ♦ up to 1200bps telephone modems
  - ♦ optical fiber (light on/off)

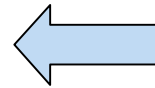
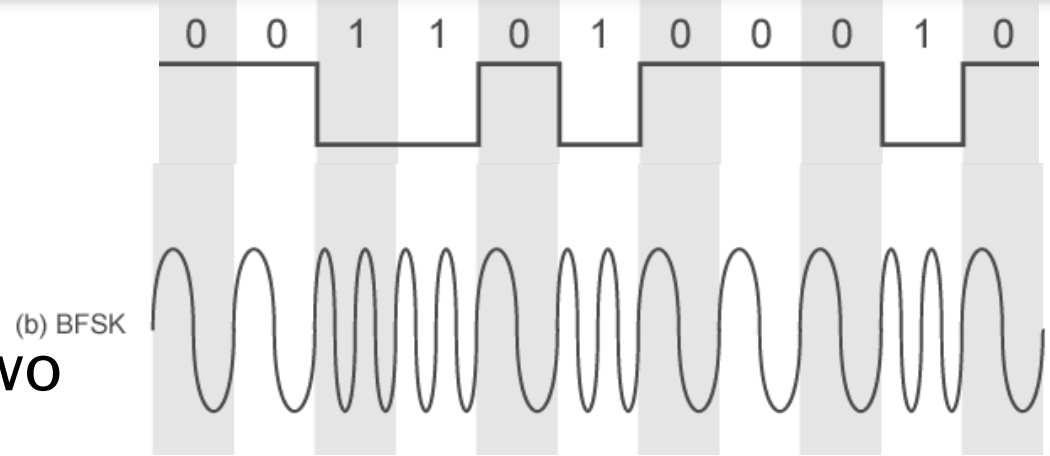


Higher data rate but  
either increased power  
or likelihood of error  
at receiver



# Frequency Shift Keying

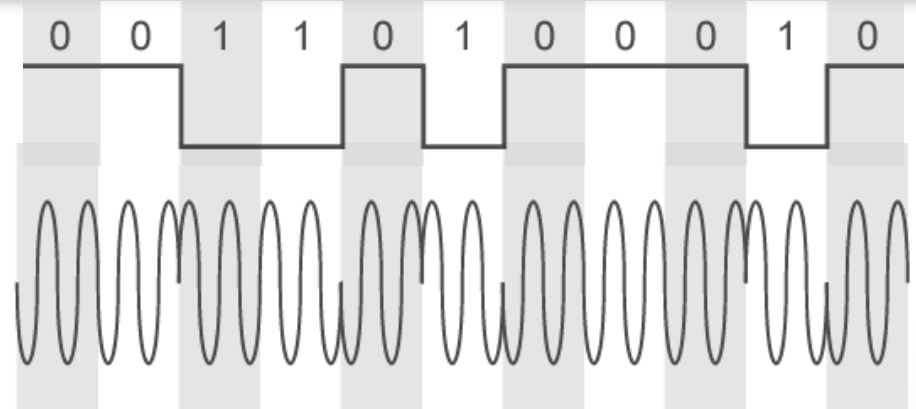
- encode data in signal **frequency**, e.g.
  - ♦ Logical 0  $\rightarrow \sin(\omega t)$
  - ♦ Logical 1  $\rightarrow \sin(2\omega t)$
- Can have more than two frequencies, e.g.
  - ♦ Logical 00  $\rightarrow \sin(\omega t)$
  - ♦ Logical 01  $\rightarrow \sin(2\omega t)$
  - ♦ Logical 10  $\rightarrow \sin(3\omega t)$
  - ♦ Logical 11  $\rightarrow \sin(4\omega t)$
- Better error resistance than ASK
- Used in old voiceband modems (300 bps)



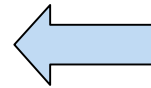
Higher data rate but  
either increased bandwidth  
or increased likelihood  
of error at receiver

# Phase Shift Keying

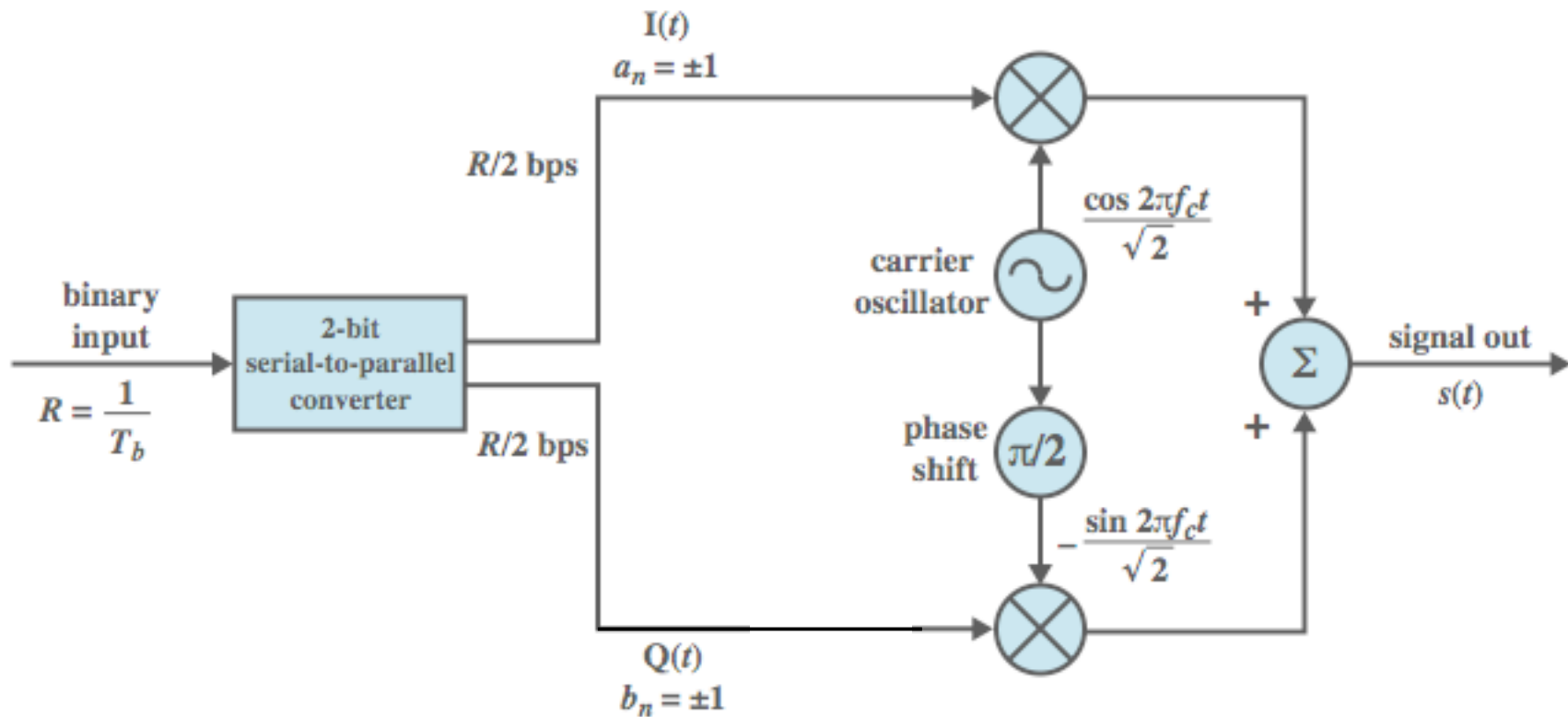
- encode data in signal **phase**, e.g.
  - ♦ Logical 0  $\rightarrow \sin(\omega t + 0)$
  - ♦ Logical 1  $\rightarrow \sin(\omega t + \pi)$
- Can have more than two phases, e.g.
  - ♦ Logical 00  $\rightarrow \sin(\omega t + 0)$
  - ♦ Logical 01  $\rightarrow \sin(\omega t + \pi/2)$
  - ♦ Logical 10  $\rightarrow \sin(\omega t + \pi)$
  - ♦ Logical 11  $\rightarrow \sin(\omega t + 3\pi/2)$
- This is called quadrature PSK (QPSK) – very popular for wireless communication



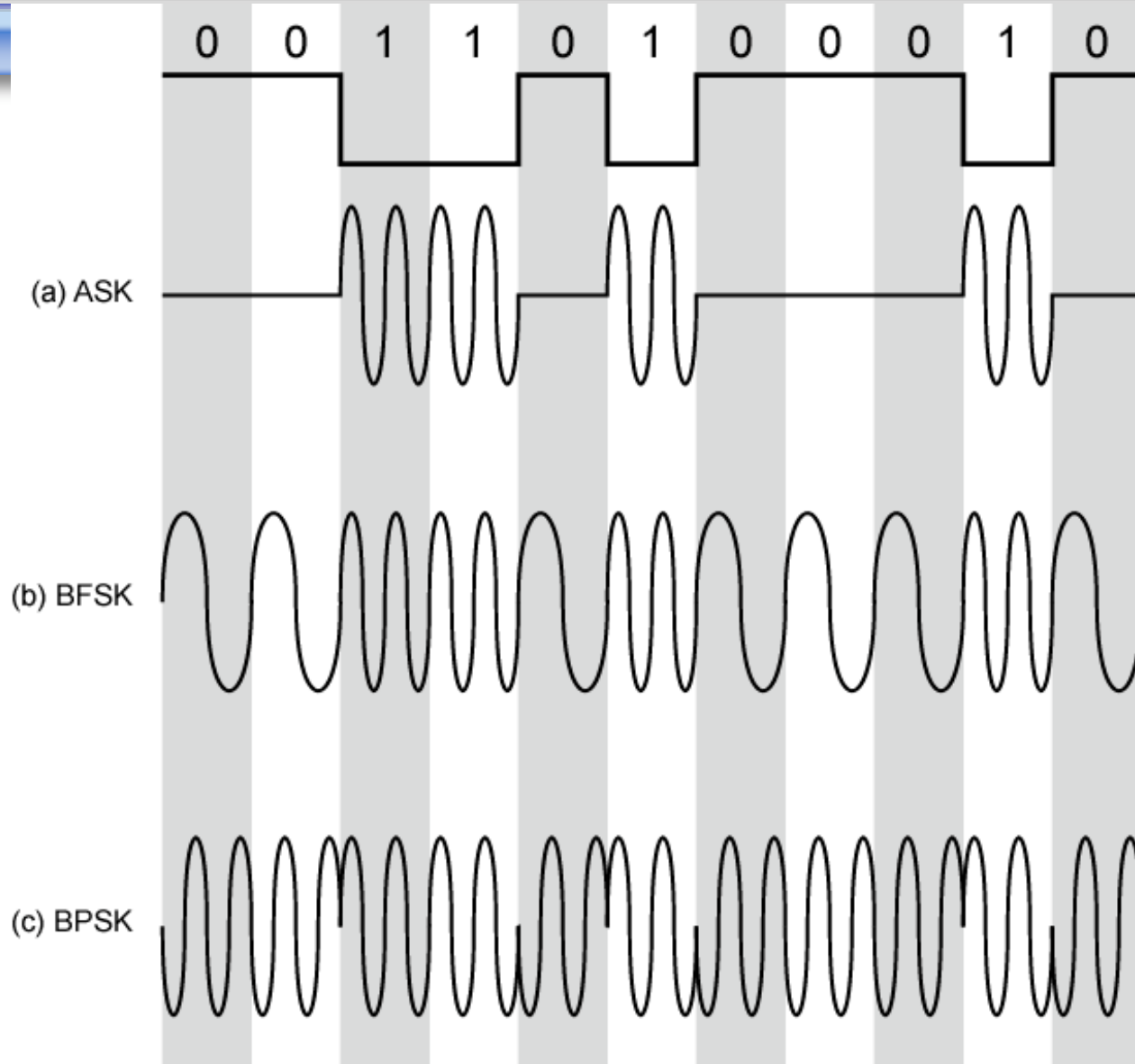
Higher data rate but increased likelihood of error at receiver



# QPSK Modulator Block Diagram



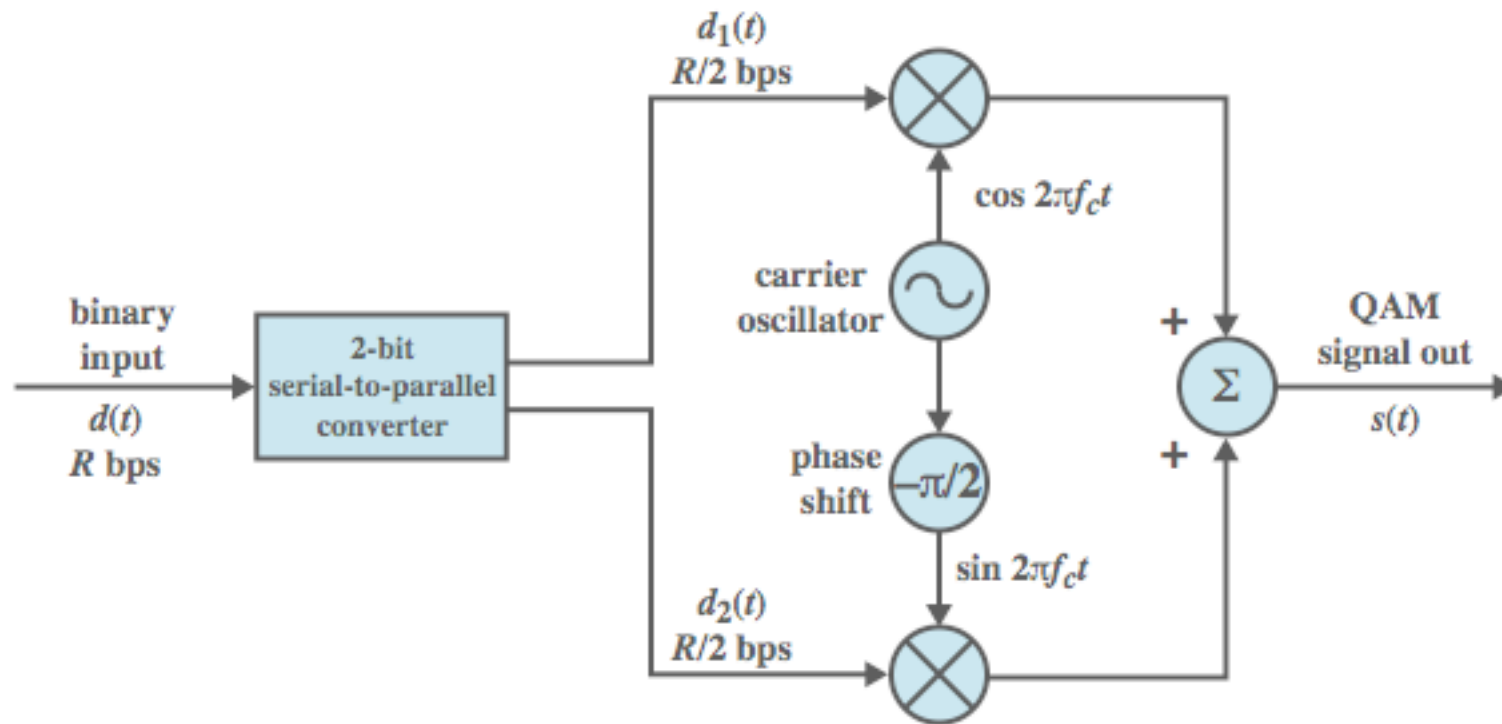
# Summary of “Pure” Binary Analog Modulation Techniques



# Hybrid method: Quadrature Amplitude Modulation (QAM)

- Basic idea: encode data in both **phase** and **amplitude**, e.g.
  - ♦ Logical 00  $\rightarrow A\cos(wt)+A\sin(wt)$
  - ♦ Logical 01  $\rightarrow A\cos(wt)-A\sin(wt)$
  - ♦ Logical 10  $\rightarrow -A\cos(wt)+A\sin(wt)$
  - ♦ Logical 11  $\rightarrow -A\cos(wt)-A\sin(wt)$
- No binary methods, but lots of higher order QAM:
  - ♦ 4QAM (2 bits per signal, like QPSK)
  - ♦ 16QAM (4 bits per signal)
  - ♦ 64QAM (6 bits per signal)
  - ♦ 256QAM (8 bits per signal)
  - ♦ ...
- Used in applications where spectral efficiency is critical, e.g. DSL and high data rate wireless

# QAM Modulator Block Diagram



# Which “Analog” Modulation Scheme Should I Use?

- **Power efficiency** important?
  - ◆ FSK is energy efficient but not bandwidth efficient
- **Spectral efficiency** important?
  - ◆ QAM, PSK, ASK are more bandwidth efficient but less energy efficient
- **Optical systems?**
  - ◆ ASK (very difficult to control/detect phase in optical transmission)
- **Bottom line:** Lots of tradeoffs. Best choice depends on the application.

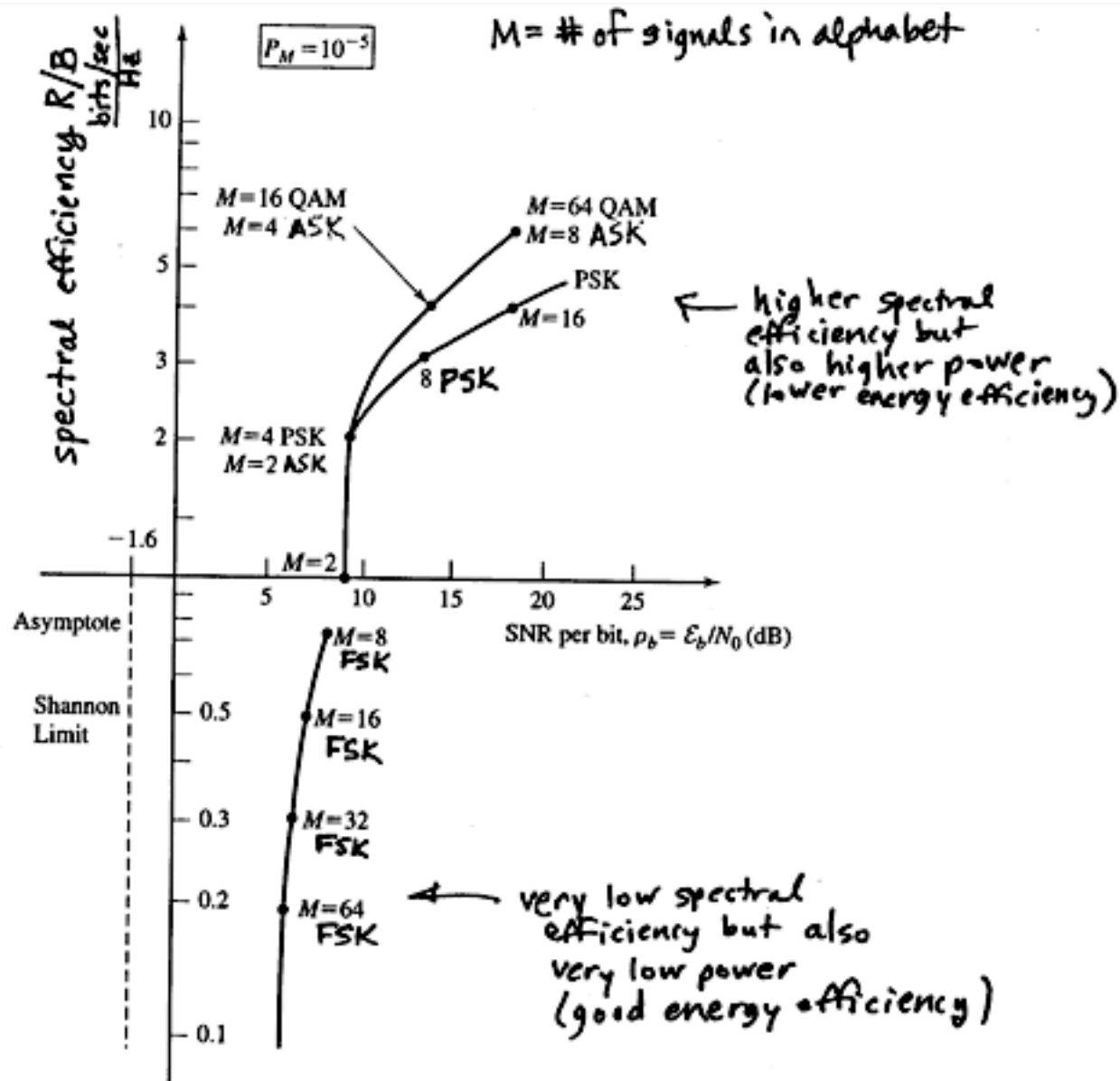


Figure 7.66 Comparison of several modulation methods at  $10^{-5}$  symbol error probability.

From Communication Systems Engineering  
Proakis & Salehi